



# Latest Development of Sarbanes-Oxley Act (SOX) and J-SOX



Speaker:  
**Mr. Frank Yam**,  
Chairperson of  
HKCS – ISSID

**Date:** 28 November 2007 (Wednesday)

**Time:** 7:00pm – 8:30pm (Registration starts at 6:45pm)

**Venue:** Microsoft HK office (Training Room)  
Seminar Room A, 23/F, Three Pacific Place  
1 Queen's Road East, Hong Kong

**Fee:** Free of charge for HKCS Members  
\$150 for Non-members  
\$75 for Members of Supporting Organisations  
(CPD Alliance / ISACA / ISC2 / HKICPA / HTCIA / PISA / IPSA)

**CPD Units:** 1.5

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## Abstract

The US Sarbanes-Oxley Act (SOX) of 2002 has dramatically affected overall awareness and management of internal controls in public corporations. Responsibility for reliable financial reporting has been re-confirmed by regulators, including the potential for personal criminal liability for CEOs and CFOs. Since the majority of financial systems are computer based, financial reporting depends on reliable, and secure IT environments. Information security professionals are being asked to understand and comply with SOX in short time frames and with limited budgets. It is important that they learn as much as they can and create realistic compliance strategies. Our multi-award winning speaker will share with us the latest development of SOX, including the recent release of AS5 (Auditing Standards No. 5). He will also give an overview of other similar regulations around the world, including J-SOX (the Japanese equivalent of SOX). This seminar will definitely benefit all IT and security professionals in preparing for this global wave of IT control compliance requirements.

## Bio of Speaker

**Mr. Frank Yam, FHKCS, FHKIoD, CISA, CIA, CFE, CSP, CCP, CDP, CFSA, FFA**

Mr. Yam is currently the Chairperson of the Hong Kong Computer Society's Information Security Special Interest Division, the International VP for IT Governance Institute, and the Governor of Institute of Internal Auditors Hong Kong Chapter. He is also a Past President of ISACA Hong Kong Chapter.

Mr. Yam is a renowned leader in the fields of corporate governance, IT governance, and information security. He has received numerous awards and honors for his outstanding contribution to the information security profession. Mr. Yam is currently the CEO of Focus Strategic Group, a consulting firm providing Business and Technology advisory services, with special focus on Sarbanes-Oxley Act compliance, internal auditing, IT auditing, and IT system implementations. He is also the Managing Director of Handshake Networking Limited, which is a Hong Kong-based company providing comprehensive network and security services.



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## Register now!

Just complete this form and send it to HKCS by fax to 2834-3003 or by email at [issid@hkcs.org.hk](mailto:issid@hkcs.org.hk) on or before 23 November 2007. For more information, please contact Ms. Connie Yu at 2834-2228.

### Reply Slip

## Latest Development of Sarbanes-Oxley Act (SOX) and J-SOX

on 28 November 2007.

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### Remarks:

1. Please complete this form and send it to HKCS by fax to 2834-3003 on or before 23 November 2007.
2. Please send a cross cheque with an appropriate amount made payable to "Hong Kong Computer Society" and send to: Unit 1801, Tai Tung Building, No. 8 Fleming Road, Wanchai, Hong Kong (Attn: Ms Connie Yu)
3. Please note that your registration will be completed when the HKCS receives your faxed copy of the reply slip with your Credit Card information OR Cheque for the enrolment fee.
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